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APT Rec'd PCT/PTO 26 JAN 2006

ATENT

Docket No.: 201304/1172

ÍN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lim et al.

Serial No. : 10/525,623

Cnfrm. No. : To Be Assigned

Filed : August 29, 2003

For : SPAN-Xb GENE AND PROTEIN FOR THE DIAGNOSIS AND TREATMENT OF CANCER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.98, applicants hereby bring to the attention of the U.S. Patent and Trademark Office, the references listed on the attached PTO/SB/08A form.

The pertinence of these references is indicated in the International Search Report (copy enclosed) for the corresponding PCT patent application.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is required. If additional fees are required, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 14-1138.

Respectfully submitted,

Date:

January 24. 2006

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Date 1/24/06 Mary Burke Burke

10/525,623 Application Number August 29, 2003 Filing Date **FORM** (to be used for all correspondence after initial filing) First Named Inventor To Be Assigned Group Art Unit To Be Assigned Examiner Name 9 pages+ 7 Total Number of Pages in This Submission Attorney Docket Number 201304/1172 references ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers After Allowance Communication to Group (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Declaration and Power of Attorney (Appeal Notice, Brief, Reply Brief) After Final Licensing-related Papers Proprietary Information Status Letter Petition ☐ Affidavits/declaration(s) Application Data Sheet Petition to Convert to a Provisional Extension of Time Request Request for Corrected Filing Receipt with Application Enclosures Express Abandonment Request Power of Attorney, Revocation × A self-addressed, prepaid postcard for Change of Correspondence Address acknowledging receipt Information Disclosure Statement Terminal Disclaimer Other Enclosure(s) (please identify below): Certified Copy of Priority PTO/SB/08A Form (in duplicate) Request for Refund with 7 references Document(s) CD, Number of CD(s) Copy of International Search Report Response to Missing Parts/ Incomplete Application Remarks A copy of the Notice to Missing Parts The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.52 or 1.53 required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Alice Y. Choi Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1508 Fax: (585) 263-1600 Signature Date Date Alice Y. Choi Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1508 Fax: (585) 263-1600 Registration No. 45,758

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for 101711 1449 A/PTO						Complete if Known						
						Application Number				10/525,623		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)						Filing Date First Named Inventor				August 29, 2003 Lim		
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	1	MASHINO et al., "Expression of Multiple Cancer-Testis Antigen Genes in Gastrointestinal and Breast Carcinomas," <i>Br. J. Cancer</i> 85(5):713-720 (2001)										
	2	SCANLAN et al., "Cancer/Testis Antigens: An Expanding Family of Targets for Cancer Immunotherapy," <i>Immunol. Rev.</i> 188:22-32 (2002)										
	3	ZENDMAN et al., "CTp11, A Novel Member of the Family of Human Cancer/Testis Antigens," Cancer Res. 59:6223-6229 (1999).										
	4	ZENDMAN et al., "The Human SPANX Multigene Family: Genomic Organization, Alignment and Expression in Male Germ Cells and Tumor Cell Lines," Gene 309:125- 133 (2003)										
	5	WESTBROOK et al., "Spermatid-Specific Expression of the Novel X-Linked Gene Product SPAN-X Localized to the Nucleus of Human Spermatozoa," <i>Biol. Reprod.</i> 63:469-481 (2000)										
	6	WESTBROOK et al., "Differential Nuclear Localization of the Caner/Testis-Associated Protein, SPAN-X/CTp11, in Transfected Cells and in 50% of Human Spermatozoa," <i>Biol. Reprod.</i> 64:345-358 (2001)										
	7	WANG et al., "Gene Expression and Immunologic Consequence of SPAN-Xb in Myeloma and Other Hematologic Malignancies," <i>Blood</i> 101(3):955-960 (2003)										
Examiner Signature				,			Date Consid	lered				

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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